OMB No. 1124-0002; Expires July 31, 2023

U.S. Department of Justice

Washington, DC 20530

### **Supplemental Statement**

Pursuant to the Foreign Agents Registration Act of 1938, as amended

For 6 Month Period Ending 03/31/2022 (Insert date) I - REGISTRANT (b) Registration Number 1. (a) Name of Registrant Vanguard Africa 6411 (c) Primary Business Address 1629 K Street, NW, Suite 300, Washington, DC 20006 2. Has there been a change in the information previously furnished in connection with the following? (a) If an individual: (1) Residence address(es) Yes 🗌 No 🗆 (2) Citizenship Yes  $\square$ No □ (3) Occupation Yes  $\square$ No □ (b) If an organization: (1) Name Yes 🗌 No 🗷 (2) Ownership or control Yes 🗌 No 🗷 (3) Branch offices Yes  $\square$ No 🗷 (c) Explain fully all changes, if any, indicated in Items (a) and (b) above. IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSES TO ITEMS 3, 4, 5, AND 6. 3. If the registrant previously filed an Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting Yes No 🗷 period. If yes, has the registrant filed an updated Exhibit C? Yes  $\square$ No □ If no, please file the updated Exhibit C.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons be Yes □	come partners, officers,	directors or sin	ular officials	during this 6 mo	nth reporting peri	od?
If yes, furnish the fo	ollowing information:					
Name	Residence Addr	ress	Citizen	ship	Position	Date Assumed
(b) Have any persons ce	ased acting as partners,	officers, directo	ors or similar	officials of the re	gistrant during th	is 6 month reporting period
Yes 🗆	No 🗷					•
If yes, furnish the fo Name	llowing information:	Position				Data Cassal
Name		Fosition				Date Ceased
5. (a) Has any person name		services directl	y in furthera	nce of the interest	s of any foreign p	orincipal?
Yes ☐  If wes identify each	No <b>▼</b> such person and describ	e the service re	ndered			
Name		Principal(s) Re		Services		
		•				
related or similar cap	ctly in furtherance of the pacity?	interests of any Yes 🗷	y foreign prin No	cipal(s) in other	than a clerical or	secretarial, or in a
Name Jeffrey Smith	Foreign Principal Democratic Republic of The Congo	Residence Ad	dress	Citizenship UNITED STAT	Position ES	Date Assumed 01/27/2022
	registrant during this 6 r			ion statement, ter √es □	rminated their em	ployment or
	llowing information:					
Name	PC	osition or Conne	ection		D	ate Terminated
	s or individuals, who have 6 month reporting perio		form registrat No		minated their co	nnection with any foreign
If yes, furnish the fo	llowing information:					
Name	Position or Cor	nnection	Foreign Pr	incipal	D	ate Terminated
5. Have short form registr				ersons named in	Items 5(a) and 5(	b) of the supplemental
statement or submitted	sons who have not previous	es 🗷	No 🗆	ment or are not si	ibmitting a short	form with this filing

#### II - FOREIGN PRINCIPAL

7. Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?  Yes □ No ▼							
If yes, furnish the following information:							
Foreign Principal Date of Termination							
8. Has the registrant added any new foreign principal(s)² during this 6 month reporting period?  Yes   No □							
If yes, furnish the following information:							
Foreign Principal Date Added							
Democratic Republic of The Congo 01/27/2022							
<ol> <li>In addition to those named in Items 7 and 8, if any, list the foreign principal(s) whom the registrant continued to repr during the 6 month reporting period.</li> <li>Robert Kyagulanyi Ssentamu Tundu Lissu</li> </ol>	resent						
10. (a) Have the registrant filed Exhibits A and B for the newly added foreign principal(s), if any, listed in Item 8?  Exhibit A³ Yes ▼ No □ N/A □							
Exhibit B <sup>4</sup> Yes 🗷 No 🗆 N/A 🗆							
If no, please file the required exhibit.							
(b) Have there been any changes in the Exhibits A and/or B previously filed for any foreign principal(s) whom the r	registrant						
represented during this 6 month period? Yes ☐ No 🗷	J						
If yes, has the registrant filed an amendment to these exhibits? Yes $\square$ No $\square$							
If no, please file the required amendment.							

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

### III - ACTIVITIES

11.	During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ▼ No □							
	If yes, identify each fore	ign principal and	l describe in full d	etail all activities and	services:			
	Foreign Principal See Appendix for Res	ponse	Activitie	s/Services				
12	During this 6 month ren	orting period has	the registrant on	behalf of any foreign	n principal, engaged in politica	l activity <sup>5</sup>		
12.	as defined below?	Yes 🗷	No □	behan of any foreign	i principai, engaged in pointea.	activity		
	the relations, interests ar arranged, sponsored or o places of delivery, name	nd policies sough lelivered speeche s of speakers and	t to be influenced s, lectures, social l subject matter. T	and the means emplo media, internet postir he response must inc	activity, indicating, among other yed to achieve this purpose. If ags, or media broadcasts, give a lude, but not be limited to, action per paration and dispense, and preparation and dispense.	the registrant details as to dates, vities involving		
	Set forth below a general See Appendix for Res		he registrant's pol	itical activities.				
	Set forth below in the re	guired detail the	registrant's politic	eal activities				
	Foreign Principal See Appendix for Response	Date	Contact	Method	Purpose			
12	In addition to the above	described estiviti	ing if any has the	registront anguard in	activity or randored any convi	oor on its own		
13.	behalf which benefits th			Yes	activity or rendered any servi No 🗷	ces on its own		
	If yes, describe fully.							
	Foreign Principal			Activities/Services				

<sup>5 &</sup>quot;Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

### IV - FINANCIAL INFORMATION

14. (a)	RECEIPTS-MONIES  During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or
	money either as compensation or otherwise? Yes ▼ No □
	If no, please explain why no monies were received.
	Robert Kyagulanyi Ssentamu: Pro bono support / no contract Tundu Lissu: Pro bono support / no contract
	If yes, set forth below in the required detail and separately for each foreign principal an account of such monies. <sup>6</sup>
	Foreign Principal Date Received From Whom Purpose Amount Subtotal See Appendix for Response
	\$ 21,000.00
	Total
<i>a</i> >	
(b)	<b>RECEIPTS - FUNDRAISING CAMPAIGN</b> During this 6 month reporting period, has the registrant received, as part of a fundraising campaign <sup>7</sup> , any money on behalf
	of any foreign principal named in Items 7, 8, or 9 of this statement? Yes \( \square\) No \( \surreptilon\)
	If yes, has the registrant previously filed an Exhibit $D^8$ to its registration? Yes $\ \square$ No $\ \square$
	If yes, indicate the date the Exhibit D was filed. Date
	If no, please file the required Exhibit D.
(c)	RECEIPTS-THINGS OF VALUE  During this 6 month reporting period, has the registraint received any thing of value <sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes \(\sigma\) No \(\overline{\sigma}\)
	If yes, furnish the following information:
	Foreign Principal Date Received From Whom Purpose Thing of Value

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

Total

						(PAGE 6)
15. (a)	DISBURSEMENT: During this 6 month behalf of any foreign	reporting perio	od, has the registrant di	sbursed or expended mor f this statement or transm	nies in connection with	activity on ich foreign
		Yes 🗌	No 🗷			-
	If no, explain why no Robert Kyagulanyi Democratic Republ Tundu Lissu: N/A	i Ssentamu: lic of The Co	N/A			
	If yes, set forth below monies transmitted,			for each foreign principa	al an account of such n	nonies, including
	Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal

	Received by NSD/FARA Registration Unit 04/15/2022 9:33:52 AM
(b)	DISBURSEMENTS-THINGS OF VALUE  During this 6 month reporting period, has the registrant disposed of anything of value 10 other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?  Yes  No  No
	If yes, furnish the following information:
	Foreign Principal Date Recipient Purpose Thing of Value
(c)	<b>DISBURSEMENTS-POLITICAL CONTRIBUTIONS</b> During this 6 month reporting period, has the registrant (or any short form registrant) from its own funds and on its own behalf either directly or through any other person, made any contributions of money or other things of value <sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?
	Yes □ No 🗷

Political Organization/Candidate Method

Amount/Thing of Value

If yes, furnish the following information:

Donor

Date

<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

(PAGE 8)

### V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting prinformational materials?	period, has the registrant prepared, disseminated Yes ▼ No □	or caused to be disseminated any
(b) If yes, identify each such foreig remaining items in this section. Democratic Republic of The Con		vere disseminated and respond to the
registrant's activities in preparing or If yes, identify each such foreign pri	d, has a budget been established or specified sur disseminating informational materials? incipal, and specify the period of time and the an	Yes □ No 🗷
Foreign Principal	Period of Time	Amount
		Total
18. During this 6 month reporting period informational materials included the	d, has the registrant's activities in preparing, disseque use of any of the following:	seminating or causing the dissemination of
	vertising campaigns	☐ Pamphlets or other publication
	dio or TV broadcasts	
☐ Email		
Website URL(s): See Appendix for	r Response	3.0 3.0 3.0 3.0 3.0 3.0 3.0 3.0 3.0 3.0
☐ Social media websites URL(s):		
Other (specify)		
19. During this 6 month reporting period materials among any of the following	d, has the registrant prepared, disseminated, or cang groups:	caused to be disseminated informational
Public officials	☐ Newspapers	☐ Libraries
<b>▼</b> Legislators	<b>▼</b> Editors	☐ Educational institutions
<b>▼</b> Government agencies	▼ Civic groups or associations	☐ Nationality groups
Other (specify)		
20. Indicate the language used in the inf	formational materials:	
<b>▼</b> English	☐ Other (specify)	
	RA Unit, U.S. Department of Justice a copy of e be disseminated during this 6 month reporting p	
If no, please file the required inform	national materials.	
22. Has the registrant labeled each item  Yes  No	n of such informational materials with the statem	nent required by Section 4(b) of the Act?

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

#### VI - EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature <sup>13</sup>
04/15/2022	Jeffrey Smith	/s/Jeffrey Smith

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.



# Appendix Response to Item 11

Item 11: During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? If yes, identify each foreign principal and describe in full detail all activities and services:

Foreign Principal(s)	Activities/Services
Robert Kyagulanyi Ssentamu	Jeffrey Smith, Executive Director: Provided mainly media outreach and relationship building with U.S. government officials and relevant staffers, as well as with professionals in the pro-democracy, NGO and think tank communities in Washington, D.C. and globally
Democratic Republic of The Congo	Jeffrey Smith, Executive Director: Provided mainly media outreach and relationship building with U.S. government officials and relevant staffers, as well as with professionals in the pro-democracy, NGO and think tank communities in Washington, D.C. and globally
Tundu Lissu	Jeffrey Smith, Executive Director: Provided mainly media outreach and relationship building with U.S. government officials and relevant staffers, as well as with professionals in the pro-democracy, NGO and think tank communities in Washington, D.C. and globally

# Appendix Response to Item 12-Desc

Robert Kyagulanyi Ssentamu: Jeffrey Smith, Executive Director: Provided mainly media outreach and relationship building with U.S. government officials and relevant staffers, as well as with professionals in the prodemocracy, NGO and think tank communities in Washington, D.C. and globally

Democratic Republic of The Congo: Jeffrey Smith, Executive Director: Provided mainly media outreach and relationship building with U.S. government officials and relevant staffers, as well as with professionals in the pro-democracy, NGO and think tank communities in Washington, D.C. and globally

Tundu Lissu: Jeffrey Smith, Executive Director: Provided mainly media outreach and relationship building with U.S. government officials and relevant staffers, as well as with professionals in the pro-democracy, NGO and think tank communities in Washington, D.C. and globally

## Appendix Response to Item 12-Detail

Foreign Principal(s)	Date	Contact	Method	Purpose
Robert Kyagulanyi Ssentamu	02/07/2022	John Tomaszewski	WhatsApp / Twitter DMs	SFRC statement on human rights crackdown in Uganda
Robert Kyagulanyi Ssentamu	02/24/2022	Maryam Nemazee	WhatsApp / multiple phone calls	Program pitches to spotlight human rights abuses in Uganda
Robert Kyagulanyi Ssentamu	03/31/2022	Sophie Neiman	WhatsApp	Facilitating interview with and quotes from Hon. Kyagulanyi for story on post-election crackdown
Democratic Republic of The Congo	02/01/2022	Peter Clottey	WhatsApp	Discussed Martin Fayulu's leadership on security issues in DRC
Democratic Republic of The Congo	02/01/2022	John Tomaszewski	WhatsApp	Discussed Vanguard Africa's partnership with
Democratic Republic of The Congo	02/01/2022	Zain Asher	WhatsApp / Phone call	Discussed Vanguard Africa's partnership with
Democratic Republic of The Congo	02/03/2022	Peter Clottey	WhatsApp / Email / Phone call	Arranged and confirmed
Democratic Republic of The Congo	02/11/2022	Maryam Namazee	WhatsApp	Pitched interview for Talk to Al Jazeera program
Democratic Republic of The Congo	02/14/2022	Marta Kaighn	Email	Introduction and request for potential call with USAID colleagues
Democratic Republic of The Congo	02/15/2022	Patrick Wiedorn	Email	Meeting request with DRC Desk and USAID
Democratic Republic of The Congo	02/17/2022	Mvemba Dizolele	Twitter DMs	Information / background on Vanguard Africa's work with Hon. Fayul

Foreign Princ	cipal(s)	Date	Contact	Method	Purpose
Democratic Re The Congo	epublic of	02/22/2022	Mark Goldberg	WhatsApp	Discussed partnership with Hon. Fayulu, potential guest for
Democratic Re The Congo	epublic of	02/25/2022	Geoffrey York	Twitter DM / WhatsApp	Discuss partnership with Hon. Fayulu, interest in introduction and potential interview
Democratic Re The Congo	epublic of	02/28/2022	Ruth Maclean	Twitter DM / WhatsApp	Story follow-up, introduction of project
Democratic Re The Congo	epublic of	03/01/2022	Peter Clottey	WhatsApp	News alert: arrest and sentencing of Congolese political activists
Democratic Re The Congo	epublic of	03/01/2022	Alexis Arieff	Congressional Research Service	News alert: arrest and sentencing of Congolese political activists
Democratic Re The Congo	epublic of	03/07/2022	Simon Allison	WhatsApp	Op-ed pitch from Hon. Fayulu
Democratic Re The Congo	epublic of	03/07/2022	Peter Clottey	WhatsApp	Interview pitch re: arrest/jailing of 10 opposition activists
Democratic Re The Congo	epublic of	03/09/2022	Richard Gibbs	Email	Coordinated op-ed opportunity
Democratic Re The Congo	epublic of	03/09/2022	Patrick Wiedorn	Email / Phone call	Discussed meeting
Democratic Re The Congo	epublic of	03/17/2022	Lisa Peterson	Email	Update on Vanguard Africa's work, including with Hon. Fayulu
Democratic Re The Congo	epublic of	03/18/2022	Philip Bednarczyk	Email	Thank you note re: bipartisan letter on DRC elections / check-in, meeting update
Democratic Re The Congo	epublic of	03/21/2022	Dickson Omondi	Email	Meeting request re: NDI projects in DRC

Foreign Principal(s)	Date	Contact	Method	Purpose
Democratic Republic of The Congo	03/22/2022	Lesley Anne Warner	Signal	Discussed Hon. Fayulu's trip to Washington and our work with his campaign
Democratic Republic of The Congo	03/24/2022	Romaine Gras	WhatsApp	Discussed partnership with Hon. Fayulu
Tundu Lissu	02/16/2022	Peter Clottey	WhatsApp	Show segment pitch and related follow-up on Pres. Samia's meeting with Hon. Tundu Lissu
Tundu Lissu	03/14/2022	Peter Clottey	WhatsApp	Update and program on Hon. Lissu's return from exile
Tundu Lissu	03/16/2022	Anneke Van Woudenberg	WhatsApp / Twitter DMs	Report on mining practices / human rights abuses in Tanzania

## Appendix Response to Item 14(a)-Detail

Foreign Principal(s)	Date Received	From Whom	Purpose	Amount	Subtotal
Democratic Republic of The Congo	02/07/2022	Hon. Martin Fayulu	Free and Fair election and human rights advocacy	\$ 7,000.00	
Democratic Republic of The Congo	02/17/2022	Hon. Martin Fayulu	Free and Fair election and human rights advocacy	\$ 7,000.00	
Democratic Republic of The Congo	04/04/2022	Hon. Martin Fayulu	Free and Fair election and human rights advocacy	\$ 7,000.00	
Democratic Republic of The Congo				>	\$21,000.00

## Appendix Response to Item 18(j)

Item 18(j): During this 6 month reporting period, has the registrant's activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following: Website URL(s):

 $\verb|https://www.vanguardafrica.com/africawatch/2022/2/2despite-government-failures-there-is-hope-in-congoing the control of th$